

## Regulation Jordan Securities Commission Kingdom of Jordan

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Equiti Group Limited Jordan is a registered trading name in Jordan (Registration Number. 50248), which is authorized and regulated by the Jordan Securities Commission with its registered address at Second Floor, Jouba Complex, Suleiman Al Nabulsi St 32, Al-Abdali Boulevard, Amman, Jordan.

Equiti Capital UK Limited (Company No. 07216039) is authorised and regulated by the Financial Conduct Authority (firm reference no. 528328), with its company registered address at 69 Wilson Street, London, EC2A 2BB, UK. Card transactions are processed by Equiti Capital UK Limited.

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### Regulator:

Jordan Securities Commission (JSC) was established in 1997 as a public institution with financial and administrative autonomy to develop, regulate and monitor Jordan's capital market to maintain a sound investment environment and protect investors. The JSC is entrusted with developing the capital market in accordance with international standards to secure fairness, safety and attract domestic and foreign investors.

Since its inception, the JSC has been working on developing and upgrading the regulations governing the capital market and its institutions to ensure higher levels of protection and transparency. It has issued relevant regulations that monitor the activities of financial services companies, enforce disclosure regulations and help investors make informed investment

decisions. In order to ensure fairness, sovereignty and proper administration of the rule of law, the JSC continuously enforces the Securities Law and its related regulations.

To maintain market integrity, the JSC regulates, monitors, and supervises the business operations of the Amman Stock Exchange, the Securities Depository Center, financial services companies, public shareholding companies, investment funds and certified financial professionals. Furthermore, the JSC is committed to securing investor protection and market development and to enforcing disclosure of information regulations pertaining to the securities market.

The Commission aims to protect investors in securities and to develop legislations relevant to the capital market to enhance transparency and implement the Instructions of Disclosure. Moreover, the Commission aims to disseminate awareness of the investment culture and follow up that parties' subject to its supervision, adhere to the Law and regulations to enhance the rule of law

## **Client Money Segregation**

All clients will initially be categorised as a retail client and notified accordingly when the account is opened. All clients of Equiti Group Ltd (Jordan) will have their money fully segregated, in accordance with Jordan Securities Commission client money rules. All client money is held in separate bank accounts and not mixed with the firm's own funds.